

SUBCHAPTER A—GENERAL

PART 4000—FINDING AIDS

Sec.
4000.1 Distribution table.
4000.2 Derivation table.

AUTHORITY: 29 U.S.C. 1302(b)(3).

§ 4000.1 Distribution table.

The following table shows where in chapter XL of 29 CFR to find regulations previously codified in chapter XXVI.

| Ch. XXVI Part Subpart(s)/Section(s) | Ch. XL Part(s)/Subpart(s) Subpart(s)/Section(s) |
|---|--|
| Subchapter A—Internal and Administrative Rules | |
| 2601 | 4002 |
| 2602: | |
| Subpart A | 4904 |
| Subpart B | 4905 |
| 2603 | 4901 |
| 2604 | Repealed |
| 2606 | 4003 |
| 2607 | 4902 |
| 2608 | 4907 |
| 2609 | 4903 |

| Subchapter B—Rules Applicable to Single-Employer and Multiemployer Plans | |
|---|---------------------|
| 2610 | 4006 & 4007 |
| \$§ 2610.1, 2610.21, 2610.31. | \$§ 4006.1 & 4007.1 |
| \$§ 2610.2 | \$§ 4006.2 & 4007.2 |
| \$§ 2610.3–2610.9 & 2610.11. | 4007 |
| \$§ 2610.10 | 4006.5(e) |
| \$§ 2610.22–2610.24 & 2610.33. | 4006 |
| \$§ 2610.25, 2610.26 & 2610.34. | 4007 |
| 2611 | 4065 |
| 2612 | 4001 |
| 2613 | 4022, Subpart A |

| Subchapter C—Single-Employer Plans | |
|---|----------------------|
| 2615 | 4043 |
| 2616 | 4041 |
| Subpart A | Subpart A |
| Subpart B | Subpart C |
| 2617 | 4041 |
| Subpart A | Subpart A |
| Subpart B | Subpart B |
| 2618 | 4044, Subpart A |
| 2619 | 4044, Subpart B |
| 2620 | 4044, Subpart B |
| 2621 (except § 2621.23(b)) | 4022, Subpart B |
| 2621.23(b) | 4022B |
| 2622 (except 2622.9) | 4062 |
| \$§ 2622.9 | 4068 |
| 2623 | 4022, Subparts D & E |
| 2625 | 4047 |
| 2627 | 4011 |
| 2628 | 4010 |
| 2629 | 4050 |

| Ch. XXVI Part Subpart(s)/Section(s) | Ch. XL Part(s)/Subpart(s) Subpart(s)/Section(s) |
|--|---|
| Subchapter F—Withdrawal Liability in Multiemployer Plans | |
| 2640: | |
| \$§ 2640.2 | \$ 4001.2 |
| \$§ 2640.3 | \$ 4221.2 |
| \$§ 2640.4 | \$ 4211.2 |
| \$§ 2640.5 | \$ 4204.2 |
| \$§ 2640.6 | \$ 4207.2, 4208.2 |
| \$§ 2640.7 | \$ 4219.2 |
| \$§ 2640.8 | \$ 4206.2 |
| 2641 | 4221 |
| 2642 | 4211 |
| 2643 | 4204 |
| 2644 | 4219, Subpart C |
| 2645 | 4203 |
| 2646 | 4208 |
| 2647 | 4207 |
| 2648 | 4219, Subpart B |
| 2649 | 4206 |
| Subchapter H—Other Rules Applicable to Multiemployer Plans | |
| 2670: | |
| \$§ 2670.2 | \$ 4001.2 |
| \$§ 2670.3 | \$ 4231.2 |
| \$§ 2670.4 | \$§ 4041A.2, 4245.2, & 4281.2 |
| 2672 | 4231 |
| 2673 | 4041A, Subpart B, & 4041A.3(a) |
| 2674 | 4245 |
| 2675 | 4041A, Subparts C & D, & 4281, Subparts C & D |
| 2676 | 4281, Subpart B |
| 2677 | 4220 |
| [61 FR 34007, July 1, 1996; 61 FR 67943, Dec. 26, 1996] | |
| § 4000.2 Derivation table. | |
| The following table shows where in previous chapter XXVI of 29 CFR to find regulations now codified in chapter XL. | |
| Ch. XL Part Subpart/Section(s) | Ch. XXVI Part(s) Subpart/Section(s) |
| Subchapter A—General | |
| 4000 | [Tables] |
| 4001 | 2612 (and various statutory and regulatory definitions) |
| 4002 | 2601 |
| 4003 | 2606 |
| Subchapter B—Premiums | |
| 4006 | 2610 |
| 4007 | 2610 |
| Subchapter C—Certain Reporting and Disclosure Requirements | |
| 4010 | 2628 |

Pension Benefit Guaranty Corporation

§ 4001.2

| Ch. XL Part Subpart/Section(s) | Ch. XXVI Part(s) Subpart/Section(s) |
|--|---|
| 4011 | 2627 |
| Subchapter D—Coverage and Benefits | |
| 4022: Subpart A | 2613 |
| Subpart B | 2621 (except § 2621.23(b)) |
| Subparts D & E | 2623 |
| 4022B | § 2621.23(b) |
| Subchapter E—Plan Terminations | |
| 4041: Subpart A | §§ 2616 & 2617, Subparts A 2617, Subpart B |
| Subpart C | 2616, Subpart B |
| 4041A: Subpart A | §§ 2670.4, 2673.1, 2673.4, 2675.1 & 2675.2 |
| Subpart B | §§ 2673.2 & .3 |
| Subparts C & D | 2675, Subparts B & E |
| 4043 | 2615 |
| 4044 | 2618, 2619 & 2620 |
| 4047 | 2625 |
| 4050 | 2629 |
| Subchapter F—Liability | |
| 4061 | [cross-references] |
| 4062 | 2622 (except § 2622.9) |
| 4063 | [cross-references] |
| 4064 | [cross-references] |
| Subchapter G—Annual Reporting Requirements | |
| 4065 | 2611 |
| Subchapter H—Enforcement Provisions | |
| 4067 | [cross-reference] |
| 4068 | 2622.9 |
| Subchapter I—Withdrawal Liability in Multiemployer Plans | |
| 4203 | 2645 |
| 4204 | 2643 & § 2640.5 |
| 4206 | 2649 & § 2640.8 |
| 4207 | 2647 & 2640.6 |
| 4208 | 2646 & 2640.6 |
| 4211 | 2642 & 2640.4 |
| 4219: Subpart A | § 2640.7 |
| Subpart B | 2648 |
| Subpart C | 2644 |
| 4220 | 2677 |
| 4221 | 2641 & § 2640.3 |
| Subchapter J—Insolvency, Reorganization, Termination, and Other Rules Applicable to Multiemployer Plans | |
| 4231 | 2672 & § 2670.3 |
| 4245 | 2674 & 2670.4 |
| 4261 | [cross-reference] |
| 4281: Subpart A | 2675, Subpart A, & 2670.4 |
| Subpart B | 2676 |
| Subpart C | 2675, Subpart C |
| Subpart D | 2675, Subpart D |
| Subchapter K—Internal Administrative Rules and Procedures | |
| 4901 | 2603 |
| 4902 | 2607 |

| Ch. XL Part Subpart/Section(s) | Ch. XXVI Part(s) Subpart/Section(s) |
|-----------------------------------|--|
| 4903 | 2609 |
| 4904 | 2602, Subpart A |
| 4905 | 2602, Subpart B |
| 4907 | 2608 |

[61 FR 34007, July 1, 1996; 61 FR 67943, Dec. 26, 1996]

PART 4001—TERMINOLOGY

Sec.

4001.1 Purpose and scope.

4001.2 Definitions.

4001.3 Trades or businesses under common control; controlled groups.

AUTHORITY: 29 U.S.C. 1301, 1302(b)(3).

SOURCE: 61 FR 34010, July 1, 1996, unless otherwise noted.

§ 4001.1 Purpose and scope.

This part contains definitions of certain terms used in this chapter and the regulations under which the PBGC makes various controlled group determinations.

§ 4001.2 Definitions.

For purposes of this chapter (unless otherwise indicated or required by the context):

Affected party means, with respect to a plan—

- (1) Each participant in the plan;
- (2) Each beneficiary of a deceased participant;
- (3) Each alternate payee under an applicable qualified domestic relations order, as defined in section 206(d)(3) of ERISA;
- (4) Each employee organization that currently represents any group of participants;

(5) For any group of participants not currently represented by an employee organization, the employee organization, if any, that last represented such group of participants within the 5-year period preceding issuance of the notice of intent to terminate; and

(6) The PBGC.

If an affected party has designated, in writing, a person to receive a notice on behalf of the affected party, any reference to the affected party (in connection with the notice) shall be construed to refer to such person.